PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL FORM** 

Total Number of Pages in This Submission

(to be used for all correspondence after initial filing)

| pond to a concountry information | runicas it displays a valid citib control runicon |
|----------------------------------|---|
| Application Number               | 09/846,703  |
| Filing Date                      | April 30, 2001                                    |
| First Named Inventor             | Imanishi Shozo                                    |
| Art Unit                         | 3682  |
| Examiner Name                    | C. M. Hansen                                      |
| Attorney Docket Number           | 09637/000L305-US0                                 |

| ENCLOSURES (Check all that apply)          |   |  |  |   |  |  |
|--|---|--|--|---|--|--|
| X Fee Transm                               | nittal Form                               | Drawing(s)   |  | After Allowance Communication to TC                                 |  |  |
| X Fee A                                    | ttached                                   | Licensing-related Papers                               |  | Appeal Communication to Board of Appeals and Interferences          |  |  |
| X Amendment                                | t/Reply (19 pgs.)                         | Petition   |  | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)      |  |  |
| After I                                    | Final                                     | Petition to Convert to a Provisional Application       |  | Proprietary Information   |  |  |
| Affida                                     | vits/declaration(s)                       | Power of Attorney, Revocation Change of Correspondence | on<br>Address  | Status Letter   |  |  |
| Extension o                                | f Time Request                            | Terminal Disclaimer                                    |  | X Other Enclosure(s) (please Identify below):                       |  |  |
| Express Aba                                | andonment Request                         | Request for Refund                                     |  | Amendment Transmittal (1 pg.);<br>Certificate of Express Mailing (1 |  |  |
| Information                                | Disclosure Statement                      | CD, Number of CD(s)                                    | pg.); Check for \$300.00; and<br>Return Receipt Postcard |   |  |  |
| Certified Co                               | ppy of Priority                           | CD   |  |   |  |  |
| Reply to Mis                               |   | Remarks  |  |   |  |  |
|  | to Missing Parts under<br>FR 1.52 or 1.53 |  |  |   |  |  |
|  |   |  |  |   |  |  |
|  |   |  |  |   |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |  |  |   |  |  |
| Firm Name                                  | DARBY & DARBY P                           | 2.0  |  |   |  |  |
| Signature                                  |   |  |  |   |  |  |
| Printed name                               | Printed name Chris T. Mizumoto            |  |  |   |  |  |
| Date                                       | January 5, 2005                           |  | Reg. No.   | 42,899  |  |  |

| Express Mail Label No. | Dated: |  |
|------------------------|--------|--|
|                        |        |  |

# JAN 0 5 2005 STATE TRADEWING AT

# AMENDMENT TRANSMITTAL LETTER

Docket No. 09637/000L305-US0

| Application No.<br>09/846,703  |   | Filing Date<br>April 30, 2001           |                                   | Examiner<br>C. M. Hansen |            | Art Unit<br>3682 |
|--|---|---|-----------------------------------|--------------------------|------------|------------------|
|  | ant(s): Imanishi Shozo                    |   |                                   |                          |            |                  |
| ention: A SLID   | E DRIVE DEV                               | ICE FOR A PF                            | RESS                              |                          |            |                  |
|  | TC  | THE COMMI                               | SSIONER FO                        | OR PATENTS               | ····       |                  |
| ransmitted here<br>he fee has been   |   |   |                                   |                          |            |                  |
| and the same of th |   |   | S AS AMENI                        |                          |            |                  |
|  | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid | Number<br>Extra Claims<br>Present | Rate                     |            |                  |
| Total Claims   | 38  | - 36 =                                  | 2                                 | x 50.0                   | 0          | 100.00           |
| Independent<br>Claims  | 4   | - 3 =                                   | 1                                 | x 200.0                  | 0          | 200.00           |
| Multiple Depend  | lent Claims (ch                           | eck if applicab                         | le)                               |                          |            |                  |
| Other fee (pleas   | e specify):                               |   |                                   |                          |            |                  |
|  |   |   |                                   |                          |            |                  |
| TOTAL ADDIT  | IONAL FEE FO                              | OR THIS AME                             | NDMENT:                           |                          |            | 300.00           |
| x Large Entity   |   |   |                                   | Small Er                 | ntity      |                  |
| No additiona   | al fee is require                         | d for this ame                          | ndment.                           |                          |            |                  |
| Please char  | ge Deposit Acc                            | count No.                               | į                                 | n the amount of          | \$         |                  |
|  | copy of this she                          |   | <del>1</del> .                    |                          |            |                  |
| x A check in the   | ne amount of \$                           | 300.00                                  | to cover                          | the filing fee is        | enclosed.  |                  |
| Payment by   | credit card. F                            | orm PTO-2038                            | B is attached.                    |                          |            |                  |
| The Director   | r is hereby auth                          | norized to char                         | ge and credit                     | Deposit Accou            | nt No. (   | 04-0100          |
|  | d below. A dup                            |   |                                   |                          |            |                  |
| x Credit a   | ny overpayme                              | nt.                                     |                                   |                          |            |                  |
| × Charge   | any additional fil                        | ing or application                      | on processing                     | fees required un         | der 37 CFR | 1.16 and 1.17    |
| Chris T. Mizum<br>Attorney Reg. N  |   | ,                                       |                                   | Dated: _                 | Januar     | y 5, 2005        |
| DARBY & DAR<br>P.O. Box 5257<br>New York, New<br>(212) 527-7783  | BY P.C.<br>V York 10150-                  | 5257                                    |                                   |                          |            |                  |

Express Mail Label No. Dated: \_\_\_\_\_

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

| Effective on 12/08/2004.  | Application Number 09/846,703          |                                 |                         |  |
|---|--|---------------------------------|-------------------------|--|
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).   | Filing Date April 30, 2001             |                                 |                         |  |
| FEE TRANSMITTAL   | First Named Inventor                   | Imanishi Shozo                  | )                       |  |
| For FY 2005   | Examiner Name C. M. Hansen             |                                 |                         |  |
| Applicant claims small entity status. See 37 CFR 1.27   | Art Unit                               | 3682                            |                         |  |
| TOTAL AMOUNT OF PAYMENT (\$) 300.00   | Attorney Docket No.                    | 09637/000L305                   | 5-US0                   |  |
| METHOD OF PAYMENT (check all that apply)  |  |                                 |                         |  |
| X Check Credit Card Money Order Nor   | e Other (please i                      | dentify):                       |                         |  |
| Deposit Account Deposit Account Number: 04-0100   | Deposit Account Name:                  | Darby & Da                      | arby P.C.               |  |
| For the above-identified deposit account, the Director is   | •                                      |                                 |                         |  |
| Charge fee(s) indicated below   | Charge fee(s                           | ) indicated below, ex           | cept for the filing fee |  |
| Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17                                     | x Credit any ov                        | erpayments                      |                         |  |
| FEE CALCULATION   |  |                                 |                         |  |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES   | -                                      |                                 |                         |  |
| FILING FEES SEA   |  | MINATION FEES (\$) Small Entity | Fees Paid (\$)          |  |
| Fee (\$)  | Fee (\$)                               | Fee (\$)                        | 1000 1 414 (0)          |  |
| Utility 300 150 500   | 250 200                                |                                 |                         |  |
| Design 200 100 100  | 50 130                                 |                                 |                         |  |
| Plant 200 100 300   | 150 16                                 |                                 |                         |  |
| Reissue 300 150 500   | 250 60                                 |                                 |                         |  |
| Provisional 200 100 0 2. EXCESS CLAIM FEES  | 0                                      | 0 0                             | Small Entity            |  |
|   |  |                                 | Fee (\$) Fee (\$)       |  |
| Fee Description Each claim over 20 (including Reissues)   |  |                                 | 50 25                   |  |
| Each independent claim over 3 (including Reissues)  |  |                                 | 200 100                 |  |
| Multiple dependent claims   |  |                                 | 360 180 ·               |  |
| Total Claims Fee (\$) Fee F   | aid (\$)                               | Multiple Depende                | nt Claims               |  |
| 38 -36 = 2 x 50.00 = 10   | 0.00                                   | Fee (\$) <u>F</u>               | ee Paid (\$)            |  |
| Indep. Claims   | aid (\$)                               |                                 |                         |  |
|   | 0.00                                   |                                 |                         |  |
| 3. APPLICATION SIZE FEE   |  |                                 |                         |  |
| If the specification and drawings exceed 100 sheets of paper  |  |                                 |                         |  |
| listings under 37 CFR 1.52(e)), the application size fee du sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and |  | in entity) for each ac          | Iditional 50            |  |
| Total Sheets Extra Sheets Number of each a  | dditional 50 or fraction the           | ereof Fee (\$)                  | Fee Paid (\$)           |  |
| - 100 = /50   | (round up to a whole numb              | ber) x =                        |                         |  |
| 4. OTHER FEE(S)   |  |                                 | Fees Paid (\$)          |  |
| Non-English Specification, \$130 fee (no small entity disc  | ount)                                  |                                 |                         |  |
| Other (e.g., late filing surcharge):  |  |                                 |                         |  |
| SUBMITTED BY  |  |                                 | (2.0) ===               |  |
| Signature   | Registration No. 42,8 (Attorney/Agent) | 399 Telephone                   | (212) 527-7700          |  |
| Name (Print/Type) Chris T. Mizumoto   |  | Date                            | January 5, 2005         |  |
|   |  |                                 |                         |  |

|                        |        |  | <del></del> |  |
|------------------------|--------|--|-------------|--|
| Express Mail Label No. | Dated: |  |             |  |
|                        |        |  |             |  |



Application No. (if known): 09/846,703

## Attorney Docket No.: 09637/000L305-US0

# Certificate of Express Mailing Under 37 CFR 1.10

| I hereby certify that this | correspondence is be | ing deposited with | າ the United S | States Postal | Service as |
|----------------------------|----------------------|--------------------|----------------|---------------|------------|
| Express Mail, Airbill No.  | inin                 | an envelope add    | ressed to:     |               |            |

CN382053969-US

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on January 5, 2005

Date

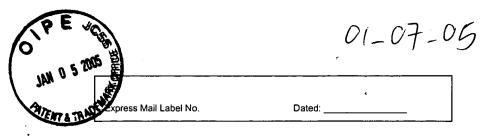
Signature
Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Amendment in Response to Non-Final Office Action (19 pages)
Amendment Transmittal (1 page)
Transmittal Form (1 page)
Fee Transmittal (1 page)
Check for \$300.00
Return Postcard



368Z,

Docket No.: 09637/000L305-US0

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Imanishi, Shozo

Application No.: 09/846,703

Confirmation No.: 4923

Filed: April 30, 2001

Art Unit: 3682

For: A SLIDE DRIVE DEVICE FOR A PRESS

Examiner: C. M. Hansen

# AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# **INTRODUCTORY COMMENTS**

In response to the Office Action dated October 5, 2004, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.

01/11/2005 BABRAHA1 00000042 09846703

01 FC:1202 02 FC:1201 100.00 OP 200.00 OP